

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name & Code:

Statement as on :31 Mar 2019

Name of Fund Life Fund

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs. Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> ¹								
B.	<u>As on Date</u> ²								
1	10.30% IL&FS FINANCIAL SERVICES LTD(MD:28-12-2021)	ORAD	300.00	28/12/2011	FITCH	AAA	D	19/09/2018	NA
2	7.65% IL&FS (Opt I)(MD:02-08-2022)	IORD	1,993.57	02/08/2017	FITCH	AAA	D	19/09/2018	NA
3	7.65% IL&FS (Opt I)(MD:26-07-2022)	IORD	1,500.00	31/07/2017	ICRA	AAA	D	18/09/2018	NA
4	8.00% IL&FS (Sr I-P Opt I)(MD:06-06-2020)	IORD	2,500.00	27/12/2018	FITCH	AAA	D	18/09/2018	NA
5	8.80 JK LAKSHMI CEMENT LTD (Serie B)MD: 06.01.2021	ORAD	2,467.78	06/11/2018	CARE	AA	AA-	09/10/2018	NA
6	9.50% IL&FS (MD:28-07-2024)	IORD	1,214.77	28/07/2014	ICRA	AAA	D	18/09/2018	NA
7	9.98% IL&FS LTD (MD:05-12-2021)	IORD	540.52	05/12/2011	ICRA	AAA	D	18/09/2018	NA

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date : Apr 10, 2019

Signature

Full Name and Designation Satishwar Balakrishnan
Chief Financial Officer

Note:

- 1 Provide Details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) shall be as per Guidelines issued by the Authority

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name & Code:

Statement as on :31 Mar 2019

Name of Fund Unit Linked Fund

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs. Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A. <u>During the Quarter</u>¹									
1	8.07 Energy Efficiency Services Ltd.(Sr 1 St A)(MD :20.03.2020	ORAD	2,682.04	29-Jun-17	ICRA	AA	AA-	04-Jan-19	NA
2	8.07 Energy Efficiency Services Ltd.(Sr 1 St B)(MD :20.09.2021	ORAD	495.92	18-Jul-17	ICRA	AA	AA-	04-Jan-19	NA
3	8.07 Energy Efficiency Services Ltd.(Sr 1 St C)(MD :20.09.2023	ORAD	977.64	18-Jul-17	ICRA	AA	AA-	04-Jan-19	NA
B. <u>As on Date</u>²									
1	10.30% IL&FS FINANCIAL SERVICES LTD(MD:28-12-2021)	ORAD	525.00	28-Dec-11	FITCH	AAA	D	19-Sep-18	NA
2	7.65% IL&FS (Opt I)(MD:02-08-2022)	IORD	1,125.00	27-Oct-17	FITCH	AAA	D	19-Sep-18	NA
3	8.07 Energy Efficiency Services Ltd.(Sr 1 St A)(MD :20.03.2020	ORAD	2,682.04	29-Jun-17	ICRA	AA	AA-	04-Jan-19	NA
4	8.07 Energy Efficiency Services Ltd.(Sr 1 St B)(MD :20.09.2021	ORAD	495.92	18-Jul-17	ICRA	AA	AA-	04-Jan-19	NA
5	8.07 Energy Efficiency Services Ltd.(Sr 1 St C)(MD :20.09.2023	ORAD	977.64	18-Jul-17	ICRA	AA	AA-	04-Jan-19	NA
6	8.80 JK LAKSHMI CEMENT LTD (Serie B)MD: 06.01.2021	ORAD	4,031.31	25-Sep-17	CARE	AA	AA-	09-Oct-18	NA
7	8.90% IL&FS(Opt III) (MD:15-03-2021)	IORD	750.00	15-Mar-16	FITCH	AAA	D	19-Sep-18	NA
8	9.50% IL&FS (MD:28-07-2024)	IORD	975.00	28-Jul-14	ICRA	AAA	D	18-Sep-18	NA
9	9.55% HINDALCO INDUSTRIES LTD (MD : 27.06.2022)	ECOS	518.94	04-Oct-12	CRISIL	AA+	AA	20-Jun-14	NA
10	9.60 HINDALCO INDUSTRIES LTD MD : 02.08.2022	ECOS	520.08	12-Sep-13	CRISIL	AA+	AA	20-Jun-14	NA
11	9.98% IL&FS LTD (MD:05-12-2021)	IORD	195.00	05-Dec-11	ICRA	AAA	D	18-Sep-18	NA

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Signature _____

Date : Apr 10, 2019

Full Name and Designation Satishwar Balakrishnan
Chief Financial Officer**Note:**

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FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name & Code:

Statement as on :31 Mar 2019

Name of Fund Pension and General Annuity Fund

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs. Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A. <u>During the Quarter</u> ¹									
1	7.44% PNB Housing Finance LTD 2019 (MD: 31.10.2019)	HTDN	2,500.00	31-Oct-17	FITCH	AAA	AA+	10-Jan-19	NA
2	7.58% PNB Housing Finance LTD 2021 (MD: 15.03.2021)	HTDN	3,000.00	15-Nov-17	FITCH	AAA	AA+	10-Jan-19	NA
3	7.59% PNB HOUSING FINANCE LTD (MD: 27.07.2022)	HTDN	3,000.00	27-Jul-17	FITCH	AAA	AA+	10-Jan-19	NA
4	7.63% PNB HOUSING FINANCE LTD (OPT B) (MD: 15.12.2020)	HTDN	1,000.00	15-Jun-17	FITCH	AAA	AA+	10-Jan-19	NA
B. <u>As on Date</u> ²									
1	7.44% PNB Housing Finance LTD 2019 (MD: 31.10.2019)	HTDN	2,500.00	31-Oct-17	FITCH	AAA	AA+	10-Jan-19	NA
2	7.58% PNB Housing Finance LTD 2021 (MD: 15.03.2021)	HTDN	3,000.00	15-Nov-17	FITCH	AAA	AA+	10-Jan-19	NA
3	7.59% PNB HOUSING FINANCE LTD (MD: 27.07.2022)	HTDN	3,000.00	27-Jul-17	FITCH	AAA	AA+	10-Jan-19	NA
4	7.63% PNB HOUSING FINANCE LTD (OPT B) (MD: 15.12.2020)	HTDN	1,000.00	15-Jun-17	FITCH	AAA	AA+	10-Jan-19	NA
5	8.65 IDFC 2020 MD: 24.05.2020	ECOS	1,999.40	29-Apr-13	ICRA	AAA	AA+	15-Nov-18	NA

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Date : Apr 10, 2019

Signature _____

Full Name and Designation Satishwar Balakrishnan

Chief Financial Officer

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