

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name & Code:

Statement as on :31 Dec 2018

Name of Fund Life Fund

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs. Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter ¹</u>								
B.	<u>As on Date ²</u>								
1	10.30% IL&FS FINANCIAL SERVICES LTD(MD:28-12-2021)	ORAD	300.00	28/12/2011	FITCH	AAA	D	19/09/2018	NA
2	7.65% IL&FS (Opt I)(MD:02-08-2022)	IORD	1,993.09	02/08/2017	FITCH	AAA	D	19/09/2018	NA
3	7.65% IL&FS (Opt I)(MD:26-07-2022)	IORD	1,500.00	31/07/2017	ICRA	AAA	D	18/09/2018	NA
4	8.00% IL&FS (Sr I-P Opt I)(MD:06-06-2020)	IORD	2,500.00	27/12/2018	FITCH	AAA	D	18/09/2018	NA
5	9.50% IL&FS (MD:28-07-2024)	IORD	1,215.46	28/07/2014	ICRA	AAA	D	18/09/2018	NA
6	9.98% IL&FS LTD (MD:05-12-2021)	IORD	540.57	05/12/2011	ICRA	AAA	D	18/09/2018	NA

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date : Jan 10, 2019

Signature _____

Full Name and Designation Satishwar Balakrishnan
Chief Financial OfficerNote:

- 1 Provide Details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) shall be as per Guidelines issued by the Authority

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name & Code:

Statement as on :31 Dec 2018

Name of Fund Unit Linked Fund

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs. Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> ¹								
1	8.80 JK LAKSHMI CEMENT LTD (Serie B)MD: 06.01.2021	ORAD	3,990.53	25/09/2017	CARE	AA	AA-	09/10/2018	NA
B.	<u>As on Date</u> ²								
1	10.30% IL&FS FINANCIAL SERVICES LTD(MD:28-12-2021)	ORAD	525.00	28/12/2011	FITCH	AAA	D	19/09/2018	NA
2	7.65% IL&FS (Opt I)(MD:02-08-2022)	IORD	1,125.00	27/10/2017	FITCH	AAA	D	19/09/2018	NA
3	8.80 JK LAKSHMI CEMENT LTD (Serie B)MD: 06.01.2021	ORAD	3,990.53	25/09/2017	CARE	AA	AA-	09/10/2018	NA
4	8.90% IL&FS(Opt III) (MD:15-03-2021)	IORD	750.00	15/03/2016	FITCH	AAA	D	19/09/2018	NA
5	9.50% IL&FS (MD:28-07-2024)	IORD	975.00	28/07/2014	ICRA	AAA	D	18/09/2018	NA
6	9.98% IL&FS LTD (MD:05-12-2021)	IORD	195.00	05/12/2011	ICRA	AAA	D	18/09/2018	NA

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Signature _____

Date : Jan 10, 2019

Full Name and Designation Satishwar Balakrishnan

Chief Financial Officer

Note:

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FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name & Code:

Statement as on :31 Dec 2018

Name of Fund Pension and General Annuity Fund

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs. Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A. <u>During the Quarter</u> ¹									
1	8.65 IDFC 2020 MD: 24.05.2020	ECOS	1,999.27	29-Apr-13	ICRA	AAA	AA+	15/11/2018	NA
2	8.80 JK LAKSHMI CEMENT LTD (Serie B)MD: 06.01.2021	ORAD	6,051.76	25-Sep-17	CARE	AA	AA-	09-Oct-18	NA
B. <u>As on Date</u> ²									
1	8.65 IDFC 2020 MD: 24.05.2020	ECOS	1,999.27	29-Apr-13	ICRA	AAA	AA+	15/11/2018	NA

CERTIFICATION

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Date : Jan 10, 2019

Signature _____

Full Name and Designation Satishwar Balakrishnan

Chief Financial Officer

Note:

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