

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name & Code:

Statement as on :31 Dec 2016

Name of Fund Life Fund _____

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs. Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> ¹								
				-----NIL -----					
B.	<u>As on Date</u> ²								

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date : Jan 16, 2017

Signature _____

Full Name and Designation Satishwar Balakrishnan

Chief Financial Officer

- Note:**
- 1 Provide details of Down Graded Investments during the Quarter.
 - 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
 - 3 FORM-2 shall be prepared in respect of each fund.
 - 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name & Code:

Statement as on :31 Dec 2016

Name of Fund Unit Linked Fund _____

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs. Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> ¹								
				-----NIL -----					
B.	<u>As on Date</u> ²								
1	9.55% HINDALCO INDUSTRIES LTD (MD : 25.04.2022)	OLDB	1,558.21	10/07/2012	CRISIL	AA	AA-	03/08/2015	NA
2	9.55% HINDALCO INDUSTRIES LTD (MD : 27.06.2022)	OLDB	1,315.38	04/10/2012	CRISIL	AA	AA-	03/08/2015	NA
3	9.60 HINDALCO INDUSTRIES LTD MD : 02.08.2022	OLDB	526.13	12/09/2013	CRISIL	AA	AA-	03/08/2015	NA

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date : Jan 16, 2017

Signature _____

Full Name and Designation Satishwar Balakrishnan

Chief Financial Officer

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name & Code:

Statement as on :31 Dec 2016

Name of Fund Pension and General Annuity Fund

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs. Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> ¹								
				-----NIL -----					
B.	<u>As on Date</u> ²								
1	9.55% HINDALCO INDUSTRIES LTD (MD : 25.04.2022)	OLDB	3,050.82	25/04/2012	CRISIL	AA	AA-	03/08/2015	NA
2	9.55% HINDALCO INDUSTRIES LTD (MD : 27.06.2022)	OLDB	4,850.57	08/03/2013	CRISIL	AA	AA-	03/08/2015	NA

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date : Jan 16, 2017

Signature _____

Full Name and Designation Satishwar Balakrishnan

Chief Financial Officer

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04