

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name & Code:

Statement as on: 30th Sep 2014

Name of Fund Life Fund

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs. Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> ¹								
				-----NIL-----					
B.	<u>As on Date</u> ²								

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date:

Signature _____

Full Name and Designation Karni Arha

Chief Financial Officer

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name & Code:

Statement as on: 30th Sep 2014

Name of Fund Unit Linked Fund

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs. Lakhs

No	Name of the Security	COI	Amount	Date of	Rating	Original Grade	Current	Date of	Remarks
A.	<u>During the Quarter</u> ¹								
					NIL: No Data				
B.	<u>As on Date</u> ²								
1	9.55% HINDALCO INDUSTRIES LTD (MD : 25.04.2022)	ECOS	1,450.26	25-Apr-12	CRISIL	AA+	AA	20-Jun-14	NA
2	9.55% HINDALCO INDUSTRIES LTD (MD : 27.06.2022)	ECOS	1,225.80	4-Oct-12	CRISIL	AA+	AA	20-Jun-14	NA
3	9.60% HINDALCO INDUSTRIES LTD (MD : 02.08.2022)	ECOS	491.69	16-Jul-13	CRISIL	AA+	AA	20-Jun-14	NA

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date:

Signature _____

Full Name and Designation Karni Arha

Chief Financial Officer

Note:

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- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name & Code:

Statement as on: 30th Sep 2014

Name of Fund Pension and General Annuity Fund _____

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs. Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> ¹								
					NIL: No Data				
B.	<u>As on Date</u> ²								
1	9.55% HINDALCO INDUSTRIES LTD (MD : 25.04.2022)	ECOS	2,867.20	25-Apr-12	CRISIL	AA+	AA	20-Jun-14	NA
2	9.60% HINDALCO INDUSTRIES LTD (MD : 02.08.2022)	ECOS	487.11	16-Jul-13	CRISIL	AA+	AA	20-Jun-14	NA
3	9.55% HINDALCO INDUSTRIES LTD (MD : 27.06.2022)	ECOS	5,600.41	4-Oct-12	CRISIL	AA+	AA	20-Jun-14	NA

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date:

Note:

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- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04

Signature _____

Full Name and Designation Karni Arha

Chief Financial Officer