

## FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name &amp; Code:

Statement as on :30 Sep 2015

Name of Fund Life Fund \_\_\_\_\_

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs. Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> <sup>1</sup>								
				-----NIL -----					
B.	<u>As on Date</u> <sup>2</sup>								

CERTIFICATION

*Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.*

Date:

Signature \_\_\_\_\_

Full Name and Designation Satishwar Balakrishnan

Dy. Chief Financial Officer

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

## FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name &amp; Code:

Statement as on :30 Sep 2015

Name of Fund Unit Linked Fund \_\_\_\_\_

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs. Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> <sup>1</sup>								
1	9.55% HINDALCO INDUSTRIES LTD (MD : 25.04.2022)	OLDB	1,498.83	25/04/2012	CRISIL	AA	AA-	03/08/2015	NA
2	9.55% HINDALCO INDUSTRIES LTD (MD : 27.06.2022)	OLDB	1,270.89	4-Oct-12	CRISIL	AA	AA-	03/08/2015	NA
3	9.60 HINDALCO INDUSTRIES LTD MD : 02.08.2022	OLDB	509.80	16-Jul-13	CRISIL	AA	AA-	03/08/2015	NA
B.	<u>As on Date</u> <sup>2</sup>								
1	9.55% HINDALCO INDUSTRIES LTD (MD : 25.04.2022)	OLDB	1,498.83	25/04/2012	CRISIL	AA	AA-	03/08/2015	NA
2	9.55% HINDALCO INDUSTRIES LTD (MD : 27.06.2022)	OLDB	1,270.89	4-Oct-12	CRISIL	AA	AA-	03/08/2015	NA
3	9.60 HINDALCO INDUSTRIES LTD MD : 02.08.2022	OLDB	509.80	16-Jul-13	CRISIL	AA	AA-	03/08/2015	NA

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date:

Signature \_\_\_\_\_

Full Name and Designation Satishwar Balakrishnan

Dy. Chief Financial Officer

Note:

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## FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name &amp; Code:

Statement as on :30 Sep 2015

Name of Fund Pension and General Annuity Fund

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs. Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter <sup>1</sup></u>								
1	9.55% HINDALCO INDUSTRIES LTD (MD : 25.04.2022)	OLDB	3,056.91	25/04/2012	CRISIL	AA	AA-	03/08/2015	NA
2	9.55% HINDALCO INDUSTRIES LTD (MD : 27.06.2022)	OLDB	5,899.68	4-Oct-12	CRISIL	AA	AA-	03/08/2015	NA
3	9.60 HINDALCO INDUSTRIES LTD MD : 02.08.2022	OLDB	488.76	16-Jul-13	CRISIL	AA	AA-	03/08/2015	NA
B.	<u>As on Date <sup>2</sup></u>								
1	9.55% HINDALCO INDUSTRIES LTD (MD : 25.04.2022)	OLDB	3,056.91	25/04/2012	CRISIL	AA	AA-	03/08/2015	NA
2	9.55% HINDALCO INDUSTRIES LTD (MD : 27.06.2022)	OLDB	5,899.68	4-Oct-12	CRISIL	AA	AA-	03/08/2015	NA
3	9.60 HINDALCO INDUSTRIES LTD MD : 02.08.2022	OLDB	488.76	16-Jul-13	CRISIL	AA	AA-	03/08/2015	NA

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date:

Signature \_\_\_\_\_

Full Name and Designation Satishwar Balakrishnan

Dy. Chief Financial Officer

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