

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name & Code:

Statement as on :30 Jun 2018

Name of Fund Life Fund

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs. Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> ¹								
				-----NIL-----					
B.	<u>As on Date</u> ²								

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date : Jul 10, 2018

Signature _____

Full Name and Designation Satishwar Balakrishnan

Chief Financial Officer

Note:

- 1 Provide Details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) shall be as per Guidelines issued by the Authority

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name & Code:

Statement as on :30 Jun 2018

Name of Fund Unit Linked Fund

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs. Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter¹</u>								
1	9.17 IDFC 2024 MD: 14.10.2024	ECOS	503.31	19-May-15	FITCH	AAA	AA+	27-Jun-18	NA
B.	<u>As on Date²</u>								
1	9.17 IDFC 2024 MD: 14.10.2024	ECOS	503.31	19-May-15	FITCH	AAA	AA+	27-Jun-18	NA
2	9.55% HINDALCO INDUSTRIES LTD (MD : 25.04.2022)	ECOS	1,010.02	10-Jul-12	CRISIL	AA+	AA	20-Jun-14	NA
3	9.55% HINDALCO INDUSTRIES LTD (MD : 27.06.2022)	ECOS	1,009.96	4-Oct-12	CRISIL	AA+	AA	20-Jun-14	NA
4	9.60 HINDALCO INDUSTRIES LTD MD : 02.08.2022	ECOS	506.63	12-Sep-13	CRISIL	AA+	AA	20-Jun-14	NA

CERTIFICATION

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Signature _____

Date : Jul 10, 2018

Full Name and Designation Satishwar Balakrishnan

Chief Financial Officer

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FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name & Code:

Statement as on :30 Jun 2018

Name of Fund Pension and General Annuity Fund

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs. Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter ¹</u>								
1	8.65 IDFC 2020 MD: 24.05.2020	ECOS	1,999.01	30-Apr-13	FITCH	AAA	AA+	27-Jun-18	NA
B.	<u>As on Date ²</u>								
1	8.65 IDFC 2020 MD: 24.05.2020	ECOS	1,999.01	30-Apr-13	FITCH	AAA	AA+	27-Jun-18	NA

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date : Jul 10, 2018

Signature _____

Full Name and Designation Satishwar Balakrishnan
Chief Financial Officer

Note:

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