

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Name of the Insurer : IndiaFirst Life Insurance Company Limited

Registration Number : 143 Dated 05th Nov 2009

Statement as on : 30 Jun 2020

Statement of Down Graded Investments

Periodicity of Submission : Quarterly

Name of Fund Life Fund

										<i>Rs. Lakhs</i>
No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks	
A.	<u>During the Quarter ¹</u>									
	NIL									
B.	<u>As on Date ²</u>									
1	10.30% IL&FS FINANCIAL SERVICES LTD(MD:28-12-2021)	ORAD	300.00	28/12/2011	FITCH	AAA	D	19/09/2018	NA	
2	7.65% IL&FS (Opt I)(MD:02-08-2022)	IORD	1,995.98	02/08/2017	FITCH	AAA	D	19/09/2018	NA	
3	7.65% IL&FS (Opt I)(MD:26-07-2022)	IORD	1,500.00	31/07/2017	CARE	AAA	D	18/09/2018	NA	
4	7.65% IL&FS (Opt I)(MD:26-07-2022)	IORD	1,500.00	31/07/2017	ICRA	AAA	D	18/09/2018	NA	
5	8.80 JK LAKSHMI CEMENT LTD (Serie B)MD: 06.01.2021	ORAD	2,490.57	06/11/2018	CARE	AA	AA-	09/10/2018	NA	
6	9.00% PNB Housing Finance LTD 2021 SER XLVIII-B (MD: 30.08.2022)	HTDN	1,000.00	31/08/2018	CARE	AAA	AA+	08/07/2019	NA	
7	9.50% IL&FS (MD:28-07-2024)	IORD	1,211.30	28/07/2014	CARE	AAA	D	18/09/2018	NA	
8	9.50% IL&FS (MD:28-07-2024)	IORD	1,211.30	28/07/2014	ICRA	AAA	D	18/09/2018	NA	
9	9.98% IL&FS LTD (MD:05-12-2021)	IORD	540.28	05/12/2011	ICRA	AAA	D	18/09/2018	NA	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date : 13 Jul 2020

Signature _____

Full Name : Kedar Patki

Chief Financial Officer

Note:

- 1 Provide Details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) shall be as per Guidelines issued by the Authority

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Name of the Insurer : IndiaFirst Life Insurance Company Limited

Registration Number : 143 Dated 05th Nov 2009

Name of Fund Unit Linked Fund

Statement as on : 30 Jun 2020

Statement of Down Graded Investments

Periodicity of Submission : Quarterly

Rs. Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter ¹</u>								
	NIL								
B.	<u>As on Date ²</u>								
1	10.30% IL&FS FINANCIAL SERVICES LTD(MD:28-12-2021)	ORAD	525.00	28-Dec-11	FITCH	AAA	D	19-Sep-18	NA
2	7.65% IL&FS (Opt I)(MD:02-08-2022)	IORD	1,125.00	27-Oct-17	FITCH	AAA	D	19-Sep-18	NA
3	8.07 Energy Efficiency Services Ltd.(Sr 1 St B)(MD :20.09.2021)	ORAD	515.71	18-Jul-17	CARE	AA	AA-	30-Sep-19	NA
4	8.07 Energy Efficiency Services Ltd.(Sr 1 St C)(MD :20.09.2023)	ORAD	1,059.27	18-Jul-17	CARE	AA	AA-	30-Sep-19	NA
5	8.25% IDFC FIRST BANK LIMITED (MD: 14.07.2022)	ECOS	1,063.64	14-Jul-17	BWR	AAA	AA+	03-Oct-19	NA
6	8.80 JK LAKSHMI CEMENT LTD (Serie B)MD: 06.01.2021	ORAD	4,082.13	25-Sep-17	CARE	AA	AA-	09-Oct-18	NA
7	8.90% IL&FS(Opt III) (MD:15-03-2021)	IORD	750.00	15-Mar-16	FITCH	AAA	D	19-Sep-18	NA
8	9.50% IL&FS (MD:28-07-2024)	IORD	975.00	28-Jul-14	CARE	AAA	D	18-Sep-18	NA
9	9.50% IL&FS (MD:28-07-2024)	IORD	975.00	28-Jul-14	ICRA	AAA	D	18-Sep-18	NA
10	9.98% IL&FS LTD (MD:05-12-2021)	IORD	195.00	05-Dec-11	ICRA	AAA	D	18-Sep-18	NA

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Chief Financial Officer

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FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Name of the Insurer : IndiaFirst Life Insurance Company Limited

Registration Number : 143 Dated 05th Nov 2009

Name of Fund PENSION AND GEN ANNUITY BUSINESS

Statement as on : 30 Jun 2020

Statement of Down Graded Investments

Periodicity of Submission : Quarterly

Rs. Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter ¹</u>								
	NIL								
B.	<u>As on Date ²</u>								
1	7.59% PNB HOUSING FINANCE LTD (MD: 27.07.2022)	HTDN	290.00	27-Jul-17	CARE	AAA	AA+	09-Mar-20	NA
2	7.59% PNB HOUSING FINANCE LTD (MD: 27.07.2022)	HTDN	290.00	27-Jul-17	FITCH	AA+	AA	09-Mar-20	NA
3	7.63% PNB HOUSING FINANCE LTD (OPT B) (MD: 15.12.2020)	HTDN	870.00	15-Jun-17	CARE	AAA	AA+	09-Mar-20	NA
4	7.63% PNB HOUSING FINANCE LTD (OPT B) (MD: 15.12.2020)	HTDN	870.00	15-Jun-17	FITCH	AA+	AA	09-Mar-20	NA
5	7.89% CAN FIN HOMES LTD (Series 6) (MD : 18/05/2022)	HTDN	6,014.75	30-May-17	FITCH	AAA	AA	19-Dec-19	NA
6	8.25% IDFC FIRST BANK LIMITED (MD: 14.07.2022)	ECOS	4,680.00	14-Jul-17	BWR	AAA	AA+	03-Oct-19	NA
7	9.00% PNB Housing Finance LTD 2021 SER XLVIII-B (MD: 30.08.2022)	HTDN	500.00	31-Aug-18	CARE	AAA	AA+	08-Jul-19	NA

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