



No.	Category of Investment	Category Code	Current Quarter				Year To Date(Current Year)				Year to date(Previous Year)			
			Investment	Income on Investment	Gross Yield	Net Yield	Investment	Income on Investment	Gross Yield	Net Yield	Investment	Income on Investment	Gross Yield	Net Yield
73	E31 Foreign Debt Securities (Invested prior to IRDA Regulations)	EFDS	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
74	E32 Mutual Funds - ETF	EETF	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
75	E33 Mutual Funds - Gilt/ G Sec/ Liquid Schemes	EGMF	3,161.66	21.62	0.68	0.68	3,383.17	78.48	2.32	2.32	431.99	14.85	3.44	3.44
76	E34 Mutual Funds - (under Insurer's Promoter Group)	EMPG	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
77	E35 Additional Tier 1 (Basel III Compliant) Perpetual Bonds - (Private Banks)	EAPB	2,799.94	208.53	7.45	7.45	2,799.94	208.53	7.45	7.45	0.00	0.00	0.00	0.00
78	E36 Net Current Assets (Only in respect of ULIP Business)	ENCA	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
79	<b>F Other than Approved Securities</b>	TITLE												
80	F1 Alternate Investment Fund - AIF	OAFA	762.16	24.72	3.24	3.24	762.03	56.59	7.43	7.43	755.36	45.53	6.03	6.03
81	F2 Other than Approved Investments -Bonds -PSU- Taxable	OBPT	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
82	F3 Other than Approved Investments -Bonds -PSU- Tax free	OBPF	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
83	F4 Other than Approved Investments - Equity Shares (incl PSUs and Unlisted)	OESH	96.56	-6.05	-6.26	-6.26	121.81	-28.85	-23.68	-23.68	0.00	0.00	0.00	0.00
84	F5 Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
85	F6 Other than Approved Investments - Debentures	OLDB	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
86	F7 Debentures/ Bonds/ CPs/ Loans etc. - Promoter Group	ODPG	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
87	F8 Commercial Papers	OACP	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
88	F9 Other than Approved Investments -Pref Shares	OPSH	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
89	F10 Other than Approved Investments -Venture fund	OVNF	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
90	F11 Other than Approved Investments -Short Term Loans (Unsecured Deposits)	OSLU	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
91	F12 Other than Approved Investments - Term Loans (without charge )	OTLW	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
92	F13 Mutual Funds - Debt/ Income/ Serial Plans/ Liquid Schemes	OMGS	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
93	F14 Mutual Funds - ETF	OETF			0.00	0.00			0.00	0.00			0.00	0.00
93	F15 Mutual Funds - (under Insurer's Promoter Group)	OMPG	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
94	F16 Derivative Instruments	OCDI	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
95	F17 Securitised Assets (underlying assets Housing Loan/ Infrastructure assets)	OPSA	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
96	F18 Equity Shares (PSU & Unlisted)	OEPU	125.00	0.00	0.00	0.00	125.00	0.00	0.00	0.00	125.00	0.00	0.00	0.00
97	F19 Investment properties - Immovable	OIFI	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
		<b>TOTAL</b>	<b>1,38,993.17</b>	<b>2,724.53</b>	<b>1.96</b>	<b>1.96</b>	<b>1,32,506.95</b>	<b>4,940.38</b>	<b>3.73</b>	<b>3.73</b>	<b>92,880.77</b>	<b>3,772.53</b>	<b>4.06</b>	<b>4.06</b>

**CERTIFICATION**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Date : 6 Oct 2017

Signature : \_\_\_\_\_  
Full Name : Satishwar Balakrishnan  
Chief Financial Officer

Note: Category of investment (COI) shall be as per Guidelines, as amended from time to time

1. Based on daily simple Average of Investments
2. Yield netted for tax
3. In the previous year column, figures of the corresponding Year to date of the previous financial year shall be shown.
4. FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM-1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
5. YTD Income on investment shall be reconciled with figures in P&L and Revenue account



No.	Category of Investment	Category Code	Current Quarter				Year To Date(Current Year)				Year to date(Previous Year)			
			Investment	Income on Investment	Gross Yield	Net Yield	Investment	Income on Investment	Gross Yield	Net Yield	Investment	Income on Investment	Gross Yield	Net Yield
73	E31 Foreign Debt Securities (Invested prior to IRDA Regulations)	EFDS	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
74	E32 Mutual Funds - ETF	EETF	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
75	E33 Mutual Funds - Gilt/ G Sec/ Liquid Schemes	EGMF	0.00	0.00	-99.83	-99.83	11,460.31	242.68	2.12	2.12	10,395.80	370.36	3.56	3.56
76	E34 Mutual Funds - (under Insurer's Promoter Group)	EMPG	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
77	E35 Additional Tier 1(Basel III Compliant) Perpetual Bonds - (Private Banks)	EAPB	24,753.94	1,739.24	7.03	7.03	24,753.94	1,739.24	7.03	7.03	0.00	0.00	0.00	0.00
78	E36 Net Current Assets (Only in respect of ULIP Business)	ENCA	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
79	<b>F Other than Approved Securities</b>	TITLE												
80	F1 Alternate Investment Fund - AIF	OAFA	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
81	F2 Other than Approved Investments -Bonds -PSU- Taxable	OBPT	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
82	F3 Other than Approved Investments -Bonds -PSU- Tax free	OBPF	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
83	F4 Other than Approved Investments - Equity Shares (incl PSUs and Unlisted)	OESH	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
84	F5 Equity Shares (incl Equity related Instruments) - Promoter Group	OEPG	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
85	F6 Other than Approved Investments - Debentures	OLDB	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
86	F7 Debentures/ Bonds/ CPs/ Loans etc. - Promoter Group	ODPG	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
87	F8 Commercial Papers	OACP	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
88	F9 Other than Approved Investments -Pref Shares	OPSH	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
89	F10 Other than Approved Investments -Venture fund	OVNF	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
90	F11 Other than Approved Investments -Short Term Loans (Unsecured Deposits)	OSLU	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
91	F12 Other than Approved Investments - Term Loans (without charge )	OTLW	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
92	F13 Mutual Funds - Debt/ Income/ Serial Plans/ Liquid Schemes	OMGS	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
93	F14 Mutual Funds - ETF	OETF			0.00	0.00			0.00	0.00				
93	F15 Mutual Funds - (under Insurer's Promoter Group)	OMPG	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
94	F16 Derivative Instruments	OCDI	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
95	F17 Securitised Assets (underlying assets Housing Loan/ Infrastructure assets)	OPSA	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
96	F18 Equity Shares (PSU & Unlisted)	OEPU	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
97	F19 Investment properties - Immovable	OIPI	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
		<b>TOTAL</b>	<b>6,40,255.44</b>	<b>16,525.92</b>	<b>2.58</b>	<b>2.58</b>	<b>6,51,754.23</b>	<b>29,121.97</b>	<b>4.47</b>	<b>4.47</b>	<b>5,24,989.45</b>	<b>23,914.02</b>	<b>4.56</b>	<b>4.56</b>

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Date : 6 Oct 2017

Signature : \_\_\_\_\_  
Full Name : Satishwar Balakrishnan  
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			Investment	Income on Investment	Gross Yield	Net Yield	Investment	Income on Investment	Gross Yield	Net Yield	Investment	Income on Investment	Gross Yield	Net Yield
73	E31 Foreign Debt Securities (Invested prior to IRDA Regulations)	EFDS	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
74	E32 Mutual Funds - ETF	EETF	5,354.90	171.70	3.21	3.21	5,017.96	541.91	10.80	10.80	6,763.97	1,278.20	18.90	
75	E33 Mutual Funds - Gilt/ G Sec/ Liquid Schemes	EGMF	2,973.87	20.32	0.68	0.68	8,052.79	186.12	2.31	2.31	4,348.15	153.99	3.54	
76	E34 Mutual Funds - (under Insurer's Promoter Group)	EMPG	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		
77	E35 Additional Tier 1(Basel III Compliant) Perpetual Bonds - (Private Banks)	EAPB	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		
78	E36 Net Current Assets (Only in respect of ULIP Business)	ENCA	5,802.68	0.00	0.00	0.00	5,802.68	0.00	0.00	0.00	3,955.18	0.00		
79	<b>F Other than Approved Securities</b>	TITLE												
80	F1 Alternate Investment Fund - AIF	OAFA	0.00	0.00	0.00	0.00	150.84	5.20	3.45	3.45	151.54	18.01		
81	F2 Other than Approved Investments -Bonds -PSU- Taxable	OBPT	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		
82	F3 Other than Approved Investments -Bonds -PSU- Tax free	OBPF	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		
83	F4 Other than Approved Investments - Equity Shares (incl PSUs and Unlisted)	OESH	16,255.89	799.87	4.92	4.92	15,902.39	812.93	5.11	5.11	1,204.85	143.62		
84	F5 Equity Shares (incl Equity related Instruments) - Promoter Group	OEPG	2,386.83	-316.40	-13.26	-13.26	1,227.68	-316.99	-25.82	-25.82	0.00	0.00		
85	F6 Other than Approved Investments - Debentures	OLDB	2,631.00	1.31	0.05	0.05	2,621.20	73.06	2.79	2.79	0.00	0.00		
86	F7 Debentures/ Bonds/ CPs/ Loans etc. - Promoter Group	ODPG	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		
87	F8 Commercial Papers	OACP	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		
88	F9 Other than Approved Investments -Pref Shares	OPSH	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		
89	F10 Other than Approved Investments -Venture fund	OVNF	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		
90	F11 Other than Approved Investments -Short Term Loans (Unsecured Deposits)	OSLU	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		
91	F12 Other than Approved Investments - Term Loans (without charge )	OTLW	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		
92	F13 Mutual Funds - Debt/ Income/ Serial Plans/ Liquid Schemes	OMGS	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		
93	F14 Mutual Funds - ETF	OETF			0.00	0.00			0.00	0.00				
93	F15 Mutual Funds - (under Insurer's Promoter Group)	OMPG	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		
94	F16 Derivative Instruments	OCDI	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		
95	F17 Securitised Assets (underlying assets Housing Loan/ Infrastructure assets)	OPSA	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		
96	F18 Equity Shares (PSU & Unlisted)	OEPU	184.69	-10.29	-5.57	-5.57	184.69	-10.29	-5.57	-5.57	696.06	184.65		
97	F19 Investment properties - Immovable	OIFI	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		
		<b>TOTAL</b>	<b>3,66,720.35</b>	<b>4,736.16</b>	<b>1.29</b>	<b>1.29</b>	<b>3,73,234.06</b>	<b>15,086.13</b>	<b>4.04</b>	<b>4.04</b>	<b>3,35,304.93</b>	<b>31,490.06</b>		

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Date : 6 Oct 2017

Signature : \_\_\_\_\_  
Full Name : Satishwar Balakrishnan  
Chief Financial Officer

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