

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name & Code:

Statement as on: 31st Mar 2015

Name of Fund Life Fund

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs. Lakhs

| No | Name of the Security                   | COI | Amount | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of Downgrade | Remarks |
|----|--|-----|--------|------------------|---------------|----------------|---------------|-------------------|---------|
| A. | <u>During the Quarter</u> <sup>1</sup> |     |        |                  |               |                |               |                   |         |
|    |  |     |        |                  |               |                |               |                   |         |
|    |  |     |        | -----NIL-----    |               |                |               |                   |         |
| B. | <u>As on Date</u> <sup>2</sup>         |     |        |                  |               |                |               |                   |         |
|    |  |     |        |                  |               |                |               |                   |         |
|    |  |     |        |                  |               |                |               |                   |         |

CERTIFICATION

*Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.*

Date:

Signature \_\_\_\_\_

Full Name and Designation Karni Arha

Chief Financial Officer

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name & Code:

Statement as on: 31st Mar 2015

Name of Fund Unit Linked Fund

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs. Lakhs

| No | Name of the Security                            | COI  | Amount   | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of Downgrade | Remarks |
|----|---|------|----------|------------------|---------------|----------------|---------------|-------------------|---------|
| A. | <u>During the Quarter <sup>1</sup></u>          |      |          |                  |               |                |               |                   |         |
|    |   |      |          |                  | NIL: No Data  |                |               |                   |         |
|    |   |      |          |                  |               |                |               |                   |         |
|    |   |      |          |                  |               |                |               |                   |         |
|    |   |      |          |                  |               |                |               |                   |         |
|    |   |      |          |                  |               |                |               |                   |         |
|    |   |      |          |                  |               |                |               |                   |         |
| B. | <u>As on Date <sup>2</sup></u>                  |      |          |                  |               |                |               |                   |         |
| 1  | 9.55% HINDALCO INDUSTRIES LTD (MD : 25.04.2022) | ECOS | 1,521.84 | 25-Apr-12        | CRISIL        | AA+            | AA            | 20-Jun-14         | NA      |
| 2  | 9.55% HINDALCO INDUSTRIES LTD (MD : 27.06.2022) | ECOS | 1,289.79 | 04-Oct-12        | CRISIL        | AA+            | AA            | 20-Jun-14         | NA      |
| 3  | 9.60% HINDALCO INDUSTRIES LTD (MD : 02.08.2022) | ECOS | 517.30   | 16-Jul-13        | CRISIL        | AA+            | AA            | 20-Jun-14         | NA      |
|    |   |      |          |                  |               |                |               |                   |         |

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Date:

Signature \_\_\_\_\_

Full Name and Designation Karni Arha

Chief Financial Officer

Note:

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## FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name &amp; Code:

Statement as on: 31st Mar 2015

Name of Fund Pension and General Annuity Fund

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs. Lakhs

| No | Name of the Security                            | COI  | Amount   | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of Downgrade | Remarks |
|----|---|------|----------|------------------|---------------|----------------|---------------|-------------------|---------|
| A. | <u>During the Quarter</u> <sup>1</sup>          |      |          |                  |               |                |               |                   |         |
|    |   |      |          |                  | NIL: No Data  |                |               |                   |         |
|    |   |      |          |                  |               |                |               |                   |         |
|    |   |      |          |                  |               |                |               |                   |         |
|    |   |      |          |                  |               |                |               |                   |         |
|    |   |      |          |                  |               |                |               |                   |         |
|    |   |      |          |                  |               |                |               |                   |         |
| B. | <u>As on Date</u> <sup>2</sup>                  |      |          |                  |               |                |               |                   |         |
| 1  | 9.55% HINDALCO INDUSTRIES LTD (MD : 25.04.2022) | ECOS | 3,059.35 | 25-Apr-12        | CRISIL        | AA+            | AA            | 20-Jun-14         | NA      |
| 2  | 9.60% HINDALCO INDUSTRIES LTD (MD : 02.08.2022) | ECOS | 487.93   | 16-Jul-13        | CRISIL        | AA+            | AA            | 20-Jun-14         | NA      |
| 3  | 9.55% HINDALCO INDUSTRIES LTD (MD : 27.06.2022) | ECOS | 5,910.81 | 04-Oct-12        | CRISIL        | AA+            | AA            | 20-Jun-14         | NA      |
|    |   |      |          |                  |               |                |               |                   |         |

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Date:

Signature \_\_\_\_\_

Full Name and Designation Karni Arha

Chief Financial Officer

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